

JUL 27 2001
PATENT & TRADEMARK OFFICE
U.S. DEPARTMENT OF COMMERCE

PTO-1449		SERIAL NO. 09/738,268	CASE NO. 8864/23
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		FILING DATE December 15, 2001	GROUP ART UNIT 2834
APPLICANT(S): Dennis K. Lieu			

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1 3,590,328	08/29/1971	Bert L. Frescura		
	A2 3,638,055	01/25/1972	Zimmermann		
	A3 3,874,073	04/01/1975	Dochterman et al.		
	A4 3,942,054	03/02/1976	Kristen et al.		
	A5 3,979,530	09/07/1976	Schwider et al.		
	A6 4,126,527	12/05/1978	Kinjo et al.		
	A7 4,352,897	10/05/1982	Ogata et al.		
	A8 4,387,311	06/07/1983	Kobayashi et al.		
	A9 4,492,889	01/08/1985	Fukushi et al.		
	A10 4,572,979	02/25/1986	Haar et al.		
	A11 4,679,313	07/14/1987	Schultz et al.		
	A12 4,760,298	07/26/1988	Dickie et al.		
	A13 4,801,833	01/31/1989	Dye		
	A14 4,853,576	08/01/1989	Mayumi et al.		
	A15 4,855,853	08/08/1989	Matsushita et al.		
	A16 4,858,073	08/15/1989	Gregory		
	A17 4,868,970	08/26/1989	Schultz et al.		
	A18 4,954,739	09/04/1990	Schultz et al.		
	A19 5,008,572	04/16/1991	Marshall et al.		
	A20 5,036,580	08/06/1991	Fox et al.		
	A21 5,073,735	12/17/1991	Takagi		
	A22 5,075,565	12/24/1991	Teruyama et al.		
	A23 5,121,021	08/09/1992	Ward		
	A24 5,134,327	07/28/1992	Sumi et al.		
	A25 5,142,103	08/25/1992	Stine		
	A26 5,147,982	09/15/1992	Steffen		
	A27 5,206,554	04/27/1993	Perrot		
	A28 5,268,607	12/07/1993	McManus		
	A29 5,331,483	07/19/1994	Muller et al.		
	A30 5,334,897	08/02/1994	Ineson et al.		
	A31 5,345,129	09/06/1994	Molnar		
	A32 5,382,852	01/17/1995	Yoshi et al.		
	A33 5,396,210	03/07/1995	Purohit et al.		
	A34 5,400,218	03/21/1995	Val		
	A35 5,414,317	05/09/1995	Reid et al.		
✓	A36 5,461,772	10/31/1995	Puri		
	A37 5,500,780	03/19/1996	Boutaghous et al.		

EXAMINER <i>R. Decker</i>	DATE CONSIDERED 8/17/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
ZKJ	A38 5,508,458	04/09/1998	Pace et al.		
	A39 5,541,787	07/30/1998	Jabbari et al.		
	A40 5,548,458	08/20/1998	Pelstring et al.		
	A41 5,558,445	09/24/1998	Chen et al.		
	A42 5,579,188	11/26/1998	Dunfield et al.		
	A43 5,587,617	12/24/1998	Dunfield et al.		
	A44 5,598,048	01/28/1997	Dunfield et al.		
	A45 5,610,463	03/11/1997	Dunfield et al.		
	A46 5,619,083	04/08/1997	Dunfield et al.		
	A47 5,619,389	04/08/1997	Dunfield et al.		
	A48 5,621,372	04/15/1997	Purohit		
	A49 5,633,545	05/27/1997	Albrecht et al.		
	A50 5,664,314	09/09/1997	Pelstring et al.		
	A51 5,666,242	09/09/1997	Edwards et al.		
	A52 5,668,427	09/16/1997	Morita		
	A53 5,672,927	09/30/1997	Viskochil		
	A54 5,675,196	10/07/1997	Huang et al.		
	A55 5,675,201	10/07/1997	Komura et al.		
	A56 5,694,268	12/02/1997	Dunfield et al.		
	A57 5,698,919	12/16/1998	Obara		
	A58 5,728,600	03/17/1998	Saxelby, Jr. et al.		
	A59 5,729,404	03/17/1998	Dunfield et al.		
	A60 5,742,450	04/21/1998	Moser		
	A61 5,751,085	05/12/1998	Hayashi		
	A62 5,751,514	05/12/1998	Hyde et al.		
	A63 5,766,535	06/16/1998	Ong		
	A64 5,783,888	07/21/1998	Yamano		
	A65 5,793,129	08/11/1998	Parsonault et al.		
	A66 5,806,169	09/15/1998	Trago et al.		
	A67 5,814,412	09/29/1998	Terada et al.		
	A68 5,822,846	10/20/1998	Moritan et al.		
	A69 5,831,355	11/03/1998	Oku		
	A70 5,850,318	12/15/1998	Dunfield		
	A71 5,880,179	03/09/1999	Ito et al.		
	A72 5,881,447	03/16/1999	Molnar		
	A73 5,942,824	08/24/1999	Shioya et al.		
	A74 5,958,466	09/28/1999	Ong		
	A75 5,973,424	10/26/1999	Engelberger et al.		
	A76 5,982,057	11/09/1999	Imada et al.		

EXAMINER <i>ZKJ</i>	DATE CONSIDERED <i>8/27/04</i>
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A	A77 5,986,365	11/16/1999	Kuwert et al.		
	A78 5,990,247	11/23/1999	Terada et al.		
	A79 6,002,185	12/14/1999	Nakao et al.		
	A80 6,019,516	02/01/2000	Leuthold et al.		
	A81 6,020,661	02/01/2000	Trago et al.		
	A82 6,034,841	03/07/2000	Albrecht et al.		
	A83 6,043,583	03/28/2000	Kurosawa et al.		
	A84 6,071,014	06/06/2000	Lee et al.		
	A85 6,075,304	06/13/2000	Nakatsuka		
	A86 6,102,638	08/15/2000	Geise		
	A87 6,148,501	11/21/2000	Grantz et al.		
	A88 6,163,952	12/28/2000	Takehara		
	A89 6,215,219 B1	04/10/2001	Hwang		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
A	A90 DE 25 39 492 A1	03/10/77	Germany		Abstract
	A91 870,878	01/15/79	Belgium		Abstract
	A92 891,258	03/16/82	Belgium		Abstract
	A93 SU 1334297	08/30/87	Soviet Union		Abstract
	A94 SU 1494148	07/15/89	Soviet Union		Abstract
	A95 01190256	07/31/89	Japan		Abstract
	A96 01291652	11/24/89	Japan		Abstract
	A97 2 847 958	12/07/90	France		Abstract
	A98 03128645	05/31/91	Japan		Abstract
	A99 WO 92/08532	04/16/92	WIPO		
	A100 DE 42 21 429 A1	06/30/92	Germany		X
	A101 05338722	12/17/93	Japan		Abstract
	A102 WO 96/20501	07/04/96	WIPO		
	A103 08186953	07/16/96	Japan		Abstract
	A104 WO 96/33533	10/24/96	WIPO		
	A105 EP 0 747 943 A2	12/11/96	EPO		
	A106 WO 97/39870	10/30/97	WIPO		
	A107 10070870	03/10/98	Japan		Abstract
	A108 410271719	10/09/1998	Japan		Abstract
	A109 EP 0 883 171 A1	12/09/98	EPO		Abstract
	A110 11082508	03/26/99	Japan		Abstract

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8/27/04

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
DW	A111 WO 01/10002 A2	02/08/01	WIPO			

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
DW	A112	LNP Engineering Plastics, Advertisement entitled "Konduit" Thermally Conductive Composites," undated (2 pages)
	A113	Product Information from Dupont Engineering Polymers entitled "Electrical/Electronic Thermoplastic Encapsulation," undated, Publ. Reorder No.: H-58633 (R, 98.7), 20 pages.
	A114	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of Thermally Conductive Compounds," January 28, 1999 (2 pages)
	A115	Buchanan Motor Works, Inc., article from the Internet entitled "Epoxy Seal - Prevents Down Time and Keeps Equipment Running Longer," 07/14/99, < http://www.bmworks.com/VIP.htm >, 1 page.
	A116	The Epoxylite Corporation, article from the Internet entitled "Vacuum Pressure Impregnation (VPI) Systems", 11/19/99, < http://www.epoxylite.com/EpoxyliteEquipment.htm >, 3 pages.
	A117	Neeltran Inc., article from the Internet entitled "Vacuum Pressure Impregnation (VPI)", 11/19/99, < http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm > 2 pages.
	A118	Document entitled "Thermoplastic Encapsulation, Technology, Markets & Benefits," undated, but prior to December 22, 1998 (37 pages)

EXAMINER INITIAL	U.S. Patent Applications		
	Filing Date	Serial No.	
DW	A119 Dec. 22, 1999	09/470,424	copy of claims as filed enclosed
	A120 Dec. 22, 1999	09/470,425	copy of claims as filed enclosed
	A121 Dec. 22, 1999	09/470,426	copy of claims as filed enclosed
	A122 Dec. 22, 1999	09/470,427	copy of claims as filed enclosed
	A123 Dec. 22, 1999	09/470,428	copy of claims as filed enclosed
	A124 Dec. 22, 1999	09/470,429	copy of claims as filed enclosed
	A125 Dec. 22, 1999	09/470,430	copy of claims as filed enclosed
	A126 Dec. 22, 1999	09/470,431	copy of claims as filed enclosed
	A127 Dec. 22, 1999	09/470,432	copy of claims as filed enclosed
	A128 Dec. 22, 1999	09/470,433	copy of claims as filed enclosed
	A128 Dec. 22, 1999	09/470,434	copy of claims as filed enclosed

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